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| APPLICATION NO.                           | FILING DATE       | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
|---|-------------------|----------------------|---------------------|-----------------|
| 10/632,804                                | 08/04/2003        | Masamichi Yano       | 990076C             | . 5550          |
| 38834 7                                   | 7590 03/02/2005   |                      | EXAM                | INER            |
| WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP |                   |                      | ALI, MOHAMMAD M     |                 |
| 1250 CONNEC                               | CTICUT AVENUE, NW |                      |                     |                 |
| SUITE 700                                 |                   | ART UNIT             | PAPER NUMBER        |                 |
| WASHINGTON, DC 20036                      |                   |                      | 3744                |                 |

DATE MAILED: 03/02/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

| ,   | Application No.   | Applicant(s)   |  |  |  |  |
|---|---|--|--|--|--|--|
| Office Asking Conservation  | 10/632,804  | YANO ET AL.  |  |  |  |  |
| Office Action Summary   | Examiner  | Art Unit   |  |  |  |  |
| TO ANNUAL DATE OUT  | Mohammad Ali  | 3744   |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply  | ,   | •  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C.,§ 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |  |  |  |  |  |
| Status  |   |  |  |  |  |  |
| <ol> <li>Responsive to communication(s) filed on <u>06 August 2004</u>.</li> <li>This action is FINAL. 2b) ☐ This action is non-final.</li> <li>Since this application is in condition for allowance except for formal matters, prosecution as to the ments is closed in accordance with the practice under <i>Ex parte Quayle</i>, 1935 C.D. 11, 453 O.G. 213.</li> </ol>  |   |  |  |  |  |  |
| Disposition of Claims   |   |  |  |  |  |  |
| 4) ⊠ Claim(s) 2.3 and 5 is/are pending in the application 4a) Of the above claim(s) is/are withdraw 5) □ Claim(s) is/are allowed.  6) ⊠ Claim(s) 2.3 and 5 is/are rejected.  7) □ Claim(s) is/are objected to.  8) □ Claim(s) are subject to restriction and/or   | wn from consideration.  |  |  |  |  |  |
| Application Papers  |   |  |  |  |  |  |
| 9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) acc Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Ex   | epted or b) objected to by the drawing(s) be held in abeyance. Serion is required if the drawing(s) is ob     | e 37 CFR 1.85(a).<br>jected to. See 37 CFR 1.121(d). |  |  |  |  |
| Priority under 35 U.S.C. § 119  |   |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority application from the International Bureau * See the attached detailed Office action for a list  | s have been received. s have been received in Applicati nty documents have been receive u (PCT Rule 17.2(a)). | ion No ed in this National Stage                     |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date   | 4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other:                                     |  |  |  |  |  |

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## Claim Rejections - 35 USC § 102

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 2, 3 and 5 are rejected under 35 U.S.C. 102(b) as being anticipated by Orth (3,810,366). Orth discloses an expansion valve comprising a prismatic valve body 12, a valve means 16 for adjusting the flow rate of a refrigerant to be transmitted to an evaporator coil E, and a power element portion driving the valve means 16 according to the temperature of the refrigerant transmitted from the evaporator E to a compressor C, wherein the valve body 12 comprises a prismatic projection and formed integrally to the side surface of the valve body 12. See Fig.3, 6 and 7 and column 3, line 67 – column 4, line 2.

## Response to Arguments

Applicant's arguments filed 08/06/04 have been fully considered but they are not persuasive. The Examiner argued, "However, the Examiner appears to be mischaracterizing the teachings of Orth. More specifically, valve body 12 is not a prismatic valve body. That is, as shown in Fig. 6 of Orth, the valve body 12 does not have a prismatic shape where the two cap screws 100, 100 pass through the body 12 or in the area surrotmding port 38. In addition, the fitting 106 fails to constitute a prismatic projection formed intevally with the side stlrface of the valve body 12, since it also does not have a prismatic shape, i.e., in the area surrounding port 38.

As such, it is clear that expansion valve comprising a prismatic valve body, a valve means for adjusting the flow rate of a refrigerant to be transmitted to an evaporator, and a power element portion for driving said valve means according to the

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temperature of said refrigerant transmitted from said evaporator to a compressor, wherein said valve body comprises a prismatic projection formed integrally to Orth fails to disclose the features of claim 2 concerning an the side surface of said valve body. Moreover, it is submitted that Orth also fails to disclose the features of newly added claim 5, for at least the reasons set forth with regard to claim 2, since claim 5 is directed to a similar embodiment as that of claim 2." The examiner disagrees. The two cylindrical protruding portions of screw holes for crews 100 and the solid cylinder of port 38 being projected from the valve body 12 and cylindrical are also prismatic in shape as the prism can be of cylindrical shape. To remove confusion as regard to cylindrical prism, The Applicant is requested to see the item 6 and column 1, lines 52 for a valve component of Schmidt (3,799,037). The new claim 5 does not neither prismatic body nor prismatic projection from the valve body. The protruding portions of the screw holes of crews 100 clearly anticipate claim 5. Therefore, the rejection is proper.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 . CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any

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extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mohammad Ali whose telephone number is 703-308-5032. The examiner can normally be reached on Monday to Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Esquivel Denise can be reached on 703-308-2597. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only.\* For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

//Ld ·MMei-A Mohammad M. Ali October 1, 2004

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